



### **Privacy of Personal Information**

ProActive Advisors, LLC is committed to maintaining the privacy, confidentiality, and security of personal information that is entrusted to us. The information provided to us by Clients is needed to advise, plan, and devise solutions to personal financial problems. We require strict confidentiality by all ProActive personnel and associated persons.

With Client consent, or at their specific direction, we disclose “need to know” information to attorneys, accountants, and credit lenders with whom Clients either have established relationships or are starting relationships. We share information with spouses of Clients only with Client consent. Clients may limit or stop our sharing information with anyone, including spouses, by notifying us by telephone, mail, email, or fax at any time.

We do not provide personal Client information to mailing list vendors or solicitors. We routinely have clients execute “Objecting Disclosure” forms when opening accounts to limit custodian account information disclosures and use of client information. Federal and state securities regulators may review your account information, as may firm auditors. Security laws require us to maintain personally identifiable information after you close your account for a required period of time. After that time, information is shredded and recycled.

We will notify Clients in advance if our Privacy Policy is changed. We consider changes to our Privacy Policy as material changes in how we do business, and as such, are required by law to provide you with a written copy of our Privacy Policy each year. This Privacy Policy is included in our Firm Brochure and annually on Client brokerage statements from your custodian or sent to you usually either in March or December. We will notify Clients each year we make any material change by April 30<sup>th</sup>.

ProActive Advisors, LLC