



April 24, 2024

PRIVACY OF PERSONAL INFORMATION

ProActive Advisors, LLC is committed to maintaining the privacy, confidentiality, and security of personal information that is entrusted to us. The personal information you provide is needed to advise, plan, and devise investment programs to meet your financial goals. We require strict confidentiality by all ProActive personnel and associated persons of our firm. We provide your personal information to banks, broker dealers and other qualified custodians to open your account(s), service and manage them.

With your consent, or at your specific direction, we disclose “need to know” information to attorneys, accountants, and credit lenders with whom you either have established relationships or are starting relationships. We share information with your spouse about your individual account only with your consent. You may limit or stop our sharing information with anyone, including spouses, by notifying us by telephone, mail, email, or fax at any time.

We do not provide personal client information to mailing list vendors or solicitors. We routinely have clients execute “Objecting Disclosure” forms when opening accounts to limit custodian disclosures and use of your personal information. Federal and state securities regulators may review your account information during our audits and security laws require us to maintain personally identifiable information after you close your account for a required period of time after which it is shredded and recycled.

We consider changes to our Privacy Policy as material changes in how we do business and will notify you in advance should we decide to change our Privacy Policy to permit third-party access or use of it. Our Privacy Policy is being sent to you to comply with regulatory policies. Typically, we will send our Privacy Policy and Material Change notices to you by US mail, email, or have them printed on your April account statement from your custodian.

ProActive Advisors, LLC